

FORM PTO-1590
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
063254-0233-US

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

U.S. APPLICATION NO. (if known, see 37CFR 1.5)

10/534699

INTERNATIONAL APPLICATION NO.
PCT/US2003/036618

INTERNATIONAL FILING DATE
13 November 2003

PRIORITY DATE CLAIMED
13 November 2002

TITLE OF INVENTION

CHEMICAL MECHANICAL POLISHING COMPOSITION AND PROCESS

APPLICANT(S) FOR DO/EO/US

Haruki NOJO, Akitoshi YOSHIDA, Hirofumi KASHIHARA and Pascal BERAR

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the international Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer readable form of the sequence listing in accordance with PCT Rule.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (A) (1) - (5)):

\$300.00

☒ Examination Fee

If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....

\$100.00

All other situations.....

\$200.00

☒ Search Fee:

Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....

\$100.00

International Search Report prepared and provided to the Office.....

\$400.00

All other situations.....

\$500.00

TOTAL of 21, 22 and 23:

\$900.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.

Total Sheets

Extra Sheets

Number of each additional 50 or fraction thereof (round UP to a whole number)

RATE

-100 =

/50 =

\$250.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h))

\$

CLAIMS**NUMBER FILED****NUMBER EXTRA****RATE**

Total Claims

29 -20 =

9

x \$50

\$450.00

Independent Claims

2 -3 =

0

x \$200

☐ MULTIPLE DEPENDENT CLAIMS (if applicable)

x 350

TOTAL OF ABOVE CALCULATIONS

\$1,350.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Fees reduced by 1/2.

- \$

SUBTOTAL =

\$1,350.00

Processing fee of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

TOTAL NATIONAL FEE

=

\$

Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). x \$40.00 per property

\$

TOTAL FEES ENCLOSED

\$1,350.00

Amount to be refunded:**charged:**☐ A check in the amount of \$0.00 to cover the above fees is enclosed☒ Please charge Deposit Account No. 50-0310 to cover the above fees.☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0310.**Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**22. ☐ Other instructions23. ☒ All correspondence for this application should be mailed to
Morgan, Lewis & Bockius LLP
00962924. ☐ All telephone inquiries should be made to

Christopher G. Hayden

SIGNATURE

44,750

REG. NO.

May 13, 2005

DATE